FORM (A)

[CUSTOMER ACCOUNT INFORMATION FORM]

YOUR TRUSTED BROKER

AB & CO. LTD.

TREC # 043, Dhaka Stock Exchange Ltd. BSEC-REG # 3.1/DSE-43/2008/190

Head Office : DSE Building, Room # 505 (4th floor), 9/F, Motijheel C/A, Dhaka-1000, Phone : 223350926

Extension of Main Office (Nikunja) : Room # 224, Level-11, DSE Tower, Nikunja-2 Road # 21, Plot # 46, Khilkhet, Dhaka, Mobile No : 01324722094.

Baridhara Branch : 59/C, Park Road, Baridhara R/A, Dhaka-1212 Tel : 8411725

Gazipur Branch : Fair Plaza (3rd Floor), Mosjid Road, Joydebpur, Gazipur-1700, Phone : 49262689

E-mail : abcdsebroker@gmail.com, fb : www.facebook.com/abcoltd.dse

BO # 1 | 2 0 1 9 4 0 0 | ☐ **CUSTOMER CODE #** ☐

Account Type : - Cash ☐ Margin ☐ **Special Remarks if any :-**

Name of Customer :-

Father's / Husband's Name :-

CEO's Name (Incase of firm or Company) :-

Age :- Sex Male ☐ Female ☐

Permanent Address :-

Present Address :-

Tel :- **Nationality** :- Bangladeshi

Name With Address of the authorized Person of the customer (if applicable) :-

Officer or Director of any Stock Exchange/Listed Company ? Yes ☐ No ☐

If Yes Name of the Stock Exchange/listed Company :-

Name & Address of the person introducing the customer (if any) :-

Special instruction if any :-

Date

Signature of the authorized person of the Customer

A/C # Date

Signature of the person introducing the Customer

Date

Signature of the Customer

Date

Signature of the Member/ Member's Partner/ Director /
Officer Or Manager accepting the accounts

"শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ। জেনে ও বুঝে বিনিয়োগ করুন"।

AB & CO. LTD.

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TREC # 043, Dhaka Stock Exchange Ltd. BSEC-REG # 3.1/DSE-43/2008/190
Head Office : DSE Building, Room # 505 (4th floor), 9/F, Motijheel C/A, Dhaka-1000, Phone : 223350926
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Signature Card

BO ID No 1 | 2 0 1 9 4 0 0 | | Client Code

Name of Client

First Applicant Photo	Second Applicant Photo	First Applicant Signature	Second Applicant Signature
		Client's Authorized Person Signature	
Client's Authorized Person Photo	Nominee Photo	First Nominee Signature	Second Nominee Signature

Documents / Papers Attached

1. **BO Opening Required Decuments:**

For Resident Bangladeshi

- ❑ National ID card / passport / driving license /Birth Certificate of account holder (any one)
- ❑ National ID card / passport / driving license /Birth Certificate of joint account holder (if joint account)
- ❑ National ID card / passport / driving license /Birth Certificate of nominee
- ❑ National ID card / passport / driving license /Birth Certificate of authorized person if any
- ❑ Two copies passport size picture of account holder (Attested by Introducer)
- ❑ Two copies passport size picture of joint account holder (Attested by Introducer)
- ❑ One copy of Passport size picture of authorized person [duly attested by customer(s)] if any
- ❑ One copy passport size picture of nominee (duly attested by customer(s)
- ❑ Bank statement /bank certificate / MICR cheque leaf photocopy (any one)
- ❑ Power of Attorney (POA) / Authorization decuments by CDBL Form and nomination by Tk. 300/= Non- Judicial Stamp. (if any)
- ❑ TIN Certificate if any

For Non Resident Bangladeshi

- ❑ Passport / social Security card / resident card
- ❑ Employment certificate / Trade license / Income tax certificate
- ❑ NITA (Non Resident Investors Taka Account) Bank Account
- ❑ Bank account statement /bank certificate
- ❑ National ID card of account holder (if any)
- ❑ National ID card of power of attorney (Where POA is applicable)
- ❑ National ID card / passport / driving license /Birth Certificate of nominee
- ❑ Two copies passport size picture of account holder (Attested by Introducer)
- ❑ Two copies passport size picture of joint account holder (Attested by Introducer)
- ❑ One copy of Passport size picture of authorized person [duly attested by customer(s)] if any
- ❑ Two copies passport size picture of power of attorney authorized person if any (duly attested by customer)
- ❑ Two copies passport size picture of nominee (duly attested by Customer(s)
- ❑ Power of Attorney (POA) / Authorization decuments by CDBL Form and nomination by Tk. 300/= Non- Judicial Stamp. (if any)
- ❑ TIN Certificate if any

AB & CO. LTD.

YOUR TRUSTED BROKER

TREC # 043, Dhaka Stock Exchange Ltd. BSEC-REG # 3.1/DSE-43/2008/190

FULL SERVICE DP-CDBL
BO ACCOUNT OPENING FORM

Bye Law 7.3.3 (b)

CDBL Bye Laws **Form-02**

Please complete all details in CAPITAL letters. Please fill all names correctly. All communication shall be sent only to the First Named Account Holder's correspondence address.

Application No..

Date (DDMMYYYY)..

Please Tick Whicnever is applicable

BO Category : Regular ☐ Omnibus ☐ Clearing ☐ **BO Type :** Individual ☐ Company ☐ Joint Holder ☐

Name of CDBL Participant (Up to 99 Characters) **AB & CO. LTD.**

CDBL Participant ID	BO ID	Date Account Opened(DDMMYYYY)
1 9 4 0 0	1 2 0 1 9 4 0 0 ☐☐☐☐☐☐☐☐	☐☐☐☐ 2 0 ☐☐

I / We request you to open a Depository Account in my / our name as per the following details :

1. First Applicant

Name in full of Account Holder (Up to 99 Characters) ....

Short Name of Account Holder (Insert full names starting with Title i.e. Mr./Ms./Dr. abbreviate only if over 30 characters) *Title i.e. Mr./Mrs./Ms./DR*

☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ ☐☐☐☐☐

(In case of a Company/Firm/Staturoty Body) Name of Contact Person ....

In Case of Individual Male ☐ Female ☐ Occupation(30Characters)..

Father's/Husband's Name..

Mother's Name : ....

2. Contact Details :

Address ....

City.. Post Code .... State /Division ....Country ....Telephone ....

National ID No.: ....

Mobile Phone..Email ....

3. Passport Details :

Passport NO ....*Issue Place* ....*Issue Date* ....*Expiry Date*..

4. Bank Details :

Bank Name .... Branch Name..

Routing Number :...Account No ....

Electronic Dividend Credit :Yes ☐ No ☐ Tax Exemption if any: Yes ☐ No ☐ TIN/ Tax ID :..

5. Other Information :

Residency: Resident ☐ Non Resident ☐ Nationality .... Date of Birth (DDMMYY) ☐☐☐☐☐☐☐☐

Statement Cycle Code Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Other (Please Specify) ....

Internal Ref. No (To be filled in by CDBL Participant) ....

In Case of Company :
Registration No .... Date of Registration(DDMMYYYY) ☐☐☐☐☐☐☐☐

6. Joint Applicant (Second Account Holder)

Name in Full (Up to 99 Characters) ....

National ID Card Number :..

Short Name of Account Holder (Insert full name starting with Title i.e. Mr. /Mrs. /Ms/Dr. abbreviate only if over 30 characters) *Title i.e.Mr./Mrs./Ms./Dr*

☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ ☐☐☐☐☐

Father's /Husband's Name :..

Mother's Name : ....

7. Account Link request

Would you like to create link to your existing Depository Account ? Yes ☐ *No* ☐

if yes, then please provide the Depository BO Account Code (8 Digits): ☐☐☐☐☐☐☐☐

8. Nominees/ Heirs

If account holder (s) wish to nominate person (s) who be entitled received securities outstanding the event of the of the sole account holder/ all the joint account holders, a separate nomination Form-23 must be filed up and signed by all account holders and the nominees giving names of nominees, relationship with first account holder, percentage distribution and contact details. if any nominee is minor, guardian's name, address relationship with nominee has also to be pavived.

9. Power of Attorney (POA)

If account holder (s) wish to give a Power of Attorney (POA) to someone to operate the account separate Form -20 must be filed up and signed by all account holders giving the name, contact details etc. of the POA holder and a POA document lodged with the form.

10. To be filled in by the Stock Broker / Stock Exchange in case the application is for opening a Clearing Account

Exchange Name DSE ☐ Trading ID.......................... CSE ☐ Trading ID..

11. Photograph

Please Insert recent passport size Photograph of 1st Applicant or Authorized signatory in case of limited Co.Only	Please Insert recent passport size Photograph of 2nd Applicant or Authorized signatory in case of limited Co.Only	Please Insert recent passport size Photograph of Authorized signatory in case of limited Co.Only
1st Applicant or Authorized Signatory in case of Ltd Co.	2nd Applicant or Authorized Signatory in case of Ltd Co.	Authorized Signatory in case of Ltd Co.

12. Standing Instructions

I/We authorize you to receive facsimie (fax)transfer instructions for delivery. Yes ☐ No ☐

13. DECLARATION

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been ready by me/us and I/We have understood the same and I/We agree to abide by and to be bound by the rules as are in force from time to time for such accounts I/We also declare that particulars given by me/us are true to the best of my/ our knowledge as on the date of making such application. I/We agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable termination and further action.

Applicants	Name of Applicants / Authorized Signatoris in Case of Ltd.com	Signature With Date:
First Applicant		
Second Applicant		
3rd Signatory (Ltd Co. Only)		

14. Special Instruction on operation of Joint Account

☐ Either or Survivor ☐ Any one can operate ☐ Will operate jointly

☐ Account Will be operated by ....With any one of the others.

15. Introduction

Introduction by an existing account holder of........ **AB & CO. LTD.** ....

Depository Participant's Name

I confirm the identity, occupation and address of the applicants(s) ....

Introducer's Name

.... Account ID | 1 | 2 | 0 | 1 | 9 | 4 | 0 | 0 | | | | | | | | |

(Signature of Introducer)

AB

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CDBL Bye Laws **Form-23**

BO ACCOUNT NOMINATION FORM

Please complete all details in CAPITAL letters. Please fill all names correctly. All communication shall be sent the correspondence address of only the First Named Account Holder as spocified in BO Account Opening Form-02

Application No. .... ***Date (DD/MM/YYYY)*** ....

Name of CDBL Participant (Up to 99 Characters)

AB & CO. LTD.
TREC No 043

CDBL Participant ID

1	9	4	0	0

Account holder's BO ID.

1	2	0	1	9	4	0	0								

Name of Account Holder **(Insert full name starting with Title i.e.Mr./ Mrs./Ms/ /Dr, abbreviate only if over 30 characters)**

I /We nominate the following person (S) who is/are entitled to receive securities outstanding in my/our account in the event of the death of the sole holder/ /all the joint holders.

1. Nominee/Heirs Details

Nominee 1

Name in Full ....

Short Name of Nominee **(Insert full name starting with Title i.e.Mr./ Mrs.Ms/ / Dr, abbreviate only if over 30 characters)** *Title i.e. Mr./Mrs.*

Relationship with A/C Holder .... Percentage (%) ....

Address..

City .... Post Code .... State/ Division .... Country .... Telephone ....

National ID No.: ....

Mobile Phone ....E-mail ....

Passport No .... *Issue Place* ....*Issue Date* ....*Expiry Date* ....

Residency: Resident ☐ Non Resident ☐ Nationality ....Date of Birth (DDMMYYYY)

Guardian's Details (if Nominee is a Minor)

Name in Full...

Short Name **(Insert full name starting with Title i.e.Mr. / Mrs. / Ms /Dr, abbreviate only if over 30 characters)**

Relationship with Nominee ....Date of Birth of Minor (DDMMYYYY) ....Maturity Date of Minor (DDMMYYYY) ....

Address..

City .... Post Code ....State / Division .... Country .... Telephone ....

National ID No.: ....

Mobile Phone ....E-mail ....

Passport No ....*Issue Place* ....*Issue Date* ....*Expiry Date* ....

Residency : Resident ☐ Non Resident ☐ Nationality ....Date of Birth (DDMMYYYY)

Nominee 2

Name in Full ....

Short Name of Nominee **(Insert full name starting with Title i.e.Mr./Mrs.Ms/Dr, abbreviate only if over 30 characters)** *Title i.e. Mr. /Mrs.*

Relationship with A/C Holder .... Percentage (%) ....

Address...

City .... Post Code .... State / Division ....Country ....Telephone ....

National ID No.: ....

Mobile Phone ....E-mail ....

Passport No ....*Issue Place* ....*Issue Date* ....*Expiry Date* ....

Residency: Resident ☐ Non Resident ☐ Nationality ....Date of Birth (DDMMYYYY)

Guardian's Details (if Nominee is a Minor)

Name in Full ....

Short Name **(Insert full name starting with Title i.e.Mr./ Mrs. /Ms / Dr, abbreviate only if over 30 characters)**

Relationship with Nominee ....Date of Birth of Minor (DDMMYYYY) ....Maturity Date of Minor (DDMMYYYY) ....

Address...

City .... Post Code ....State / Division ....Country ....Telephone ....

National ID No.: ....

Mobile Phone ....E-mail ....

Passport No ....*Issue Place* ....*Issue Date* ....*Expiry Date* ....

Residency : Resident ☐ Non Resident ☐ Nationality ....Date of Birth (DDMMYYYY)

Photograph of Nominees / Heirs

Please paste recent passport size Photograph	Please paste recent passport size Photograph	Please paste recent passport size Ph otograph	Please paste recent passport size Photograph
Nominee / Heir 1	Nominee / Heir 2	Guardian 1	Guardian 2

	Name	Signature
Nominee / Heir 1		
Guardian 1		
Nominee / Heir 2		
Guardian 2		
First Account Holder		
Second Account Holder		
Third Account Holder		

Central Depository Bangladesh Limited (CDBL)
Depository Account (BO Account) Opened With CDBL Participant

TERMS AND CONDITIONS FOR OPENING A BROKERAGE ACCOUNT

a) **Declaration/Agreement:** I/We the undersigned whose information is given in the BO Account application Form hereby request to be registered as a client of AB & Co. Ltd. and intend to open a BO Account in my/our/company name. I/We understand that opening a BO Account with AB & Co. Ltd. comprises my/our agreement to abide by the Terms and Conditions contained herein and that AB & Co. Ltd. has the right to change/update any of the Terms & Conditions as deemed necessary by AB & Co. Ltd. and/or as ordered to by the relevant regulatory authorities without prior notice.

b) **Risk:** I/we as the BO account holder understand that the stock market is a rapidly changing market and that there is an inherent risk in incurring loss in share dealings for which, AB & Co. Ltd., is not in any way whatsoever liable and/or responsible for.

c) **Order Placement Instruction:** A written instruction shall be given to AB & Co. Ltd. from time to time to purchase and/or sell investments (which shall mean and include stocks, debentures, mutual funds and private placement or any other similar financial as may be made available from time to time) on behalf of the BO Account holder. On receipt of such instructions along with a cash deposit or delivery of shares, AB & Co. Ltd. shall, so far as AB & Co. Ltd. considers it reasonably practicable, purchase and/or sell investments in accordance with those instructions, provided always that (1) any such dealings to do not contravene any applicable laws or regulations, (2) the BO Account holder's balance allows for such transaction, (3) AB & Co. Ltd. shall have an absolute discretion to accept or reject purchase/sell instructions and (3) BO Account holder's instruction shall include the following details;
   - The Name of the Instrument
   - Quantity
   - Price with notification of limit or discretion
   - The duration of the order

d) **Order Placement and Execution Limitations:** I/we understand that while AB & Co. Ltd. will always endeavor to obtain the best price and execute orders I/we place within the limits I/we declare, AB & Co. Ltd. cannot guarantee or assure that transactions will be materialized on such notified limits.

e) **Joint Accounts:** If this is a joint BO account, unless the BO account holders notify AB & Co. Ltd. otherwise and provide such documentation as AB & Co. Ltd. may require, the brokerage BO account(s) shall be held by the BO account holders jointly with rights or survivorship (payable to either or the survivor). Under these terms and conditions each joint BO account holder irrevocably appoints the other as attorney in fact to take all action on his or her behalf and to represent him or her in all respects in connection with this agreement. AB & Co. Ltd. shall be fully protected in acting but shall not be required to act upon the instruction of either of the BO account holder, who shall be liable, jointly or severally, for any amounts due to AB & Co. Ltd. pursuant to this agreement, whether incurred by either or both account holders.

f) **Confirmation:** I/we understand that transactions conducted through our BO account will be informed to me/us daily to our registered email, provided I/we have assigned such, and via CDBL SMS to my/our registered phone number. Both are automated processes, and it is my/our sole responsibility to ensure that I/we are regularly receiving updates (i.e., ensuring space in my/our email and SMS inbox, ensuring activated status of email and registered phone number, etc.). It is my/our responsibility to bring to the attention of AB & Co. Ltd. any grievances regarding the contents of any such update email or SMS or both within 2 working days of receiving it or within 2 working days of relevant transaction, after which it will be considered a valid transaction confirmed by me/us.

g) **Disclosure:** I/we understand that AB & Co. Ltd. may disclose information regarding me/us or my/our dealings in relation to this agreement to any department of the government/public body upon request irrespective of whether any such request is in fact legally enforceable, and AB & Co. Ltd. will not be liable in any way to me/us for doing so.

h) **Cancellation Provisions:** I/we understand that AB & Co. Ltd. is authorized, in its absolute discretion, should I/we die or for any reason to cancel any outstanding orders in order to close out my/our BOaccount, in whole or in part, or to close out any commitment made on my/our behalf.

i) **Indemnity:** I/we understand that in the event of a default, omission or act committed by AB & Co. Ltd. as a broker/member of DSE, that I/we shall be indemnified if and only as provided by the Rules and Regulations of DSE. AB & Co. Ltd. cannot be held liable for any agreements (Written/Oral) between BO Account Holder's and/or outside parties. Employees of AB & Co. Ltd. are not allowed to enter into any agreement (Written/Oral) with me/us, the BO Account Holder, and AB & Co. Ltd. cannot be held liable should such actions be undertaken despite the Rules and Regulations of the Company.

j) **Fees and Expenses:** I/we understand that I/we will pay a brokerage commission and any other related expenses that are charged in the context of my dealings with AB & Co. Ltd. Every transaction concluded through and recognized by DSE is subject to levies or other fees as imposed by DSE. I/we understand that the rate of commission or any other BO account maintenance or service fees may be subject to change at the sole discretion of AB & Co. Ltd.

k) **Set-off:** I/we understand that AB & Co. Ltd. shall be entitled to, in respect of all commission, service fee, costs, charged or expense, set-off from any monies from time to time held by AB & Co. Ltd. for me/us and if such monies are insufficient for the purpose, to sell any investment held by AB & Co. Ltd. on behalf of me/us without any notification, recourse or instruction from me/us.

l) **Force Majeure:** I/we understand that AB & Co. Ltd. shall not be liable for any loss, damages, expenses, costs or otherwise resulting directly or indirectly from any Government restriction, exchange ruling, suspension of trading, war, strike, national disaster or any other event or force majeure or circumstance beyond its control.

Terms & Conditions- Bye Laws 7.3.3 (c)

CDBL Participant, Dhaka / Chottagram / Sylhet, Bangladesh

Dear Sir,

Please open a Depository account (BO Account) in my/our name(s) On the terms and conditions set out bellow. In consideration of **AB & CO. LTD.** (the "CDBL Participant") opening the account providing depository account facilities to me/us, I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out bellow.

1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository, (User Regulations) 2003, and abide by the Bye laws and Operating Instructions issued from time to time by CDBL.

2. CDBL shall allocate a unique identification number to me/us (account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless the I/We instructs the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL Participant Shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.

3. I/We agree to pay such fees, charges and deposits to the CDBL Participant's as may be mutually agreed upon, for the purpose of openings and maintaining my/our account, for carrying out the instructions, and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.

4. I/We shall be responsible for :

   (a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents.

   (b) The authenticity and genuineness of all certificates and/of documents submitted to the CDBL Participant along with or in support of the account opening from or subsequently for dematerialization.

   (c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;

   (d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction / transfer.

   (e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address. bank details, status, authorizations, mandates, nomination, signature,etc;

   (f) Furnishing accurate identification details whilst subscribing to any issue of securities.

5. I/We shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.

6. Where I/we have executed a BO Account Nomination Form

   (a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account

   (b) In the event, the nominee so authorised remains a minor at the time of my/our death, the legal guardian is authorised to receive/draw the securities held in my/our account.

   (c) The nominee so authorised, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs, executors and administrators and all other persons claming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.

7. I/We may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDB LParticipant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account may be closed by me/us in one of the following ways:

   (a) By rematerialization of all existing balances in my/our account;

(b) By transfer of existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participant(s).

(c) By rematerialization of a part of the existing balances in my/you account and by transferring the rest to one or more of my/our other account(s) with any other CDBL Participant(s),

8. CDBL Participant covenants that it shall

a) act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf.

b) not effect any debit or credit to and from the account of the Account Holder without appropriate instuctions from the Account Holder.

c) maintain adequate audit trail of the execution of the instructions of the Account Holder.

d) not honour or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless:

(i) Such instructions are issued by the Account Holder Under his signature or that of his/its constituted attorney duly authorized in that behalf.

(ii) The CDBL Participant is satisfied that the signature of the Accounts Holder under which instructions are issued matches with the specimen of the Account Holder or his/its constituted attorney available on the records of the CDBL Participant;

(iii) The balance of clear securities available in the Account Holder's account are sufficient to honour the Account Holder's instructions.

e) furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year, The CDBL Participant shall furnish such statement at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity there of and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.

f) Promptly attend to all grievances / complaints of the Account Holder and shall resolve all such grievances / complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances / complaints of the Account Holder on the same being brought to the notice ofthe CDBL Participant and shall endeavour to resolve the same at the earliest.

9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder.

(a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf.

(b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission:

(c) Commits or participates in any fraud or other act of moral turpitude in his/its dealings with CDBL participant;

(d) Otherwise misconducts himself in any manner.

10. Declaration and Signature
I/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BOAccount) with CDBL Participant and agre to Comply with them.

Applicants	Name of Applicants / Authorized Signatoris in Case of Ltd.com	Signature with Date
First Applicant		
Second Applicant		
Third Applicant (Ltd. Co. only)		

AB & CO. LTD.

YOUR TRUSTED BROKER

TREC # 043, Dhaka Stock Exchange Ltd. BSEC-REG # 3.1/DSE-43/2008/190

KYC Profile Form
(Applicable for BO Account)

(To be completed by the account opening/Relationship officer)

1. Customer / Account Name:
2. Type of Account:
3. Account / Reference Number :
4. Name of Account Opening Officer/Relationship Manager:
5. What is the source of fund? How the source of fund has been verified (where applicable)?

6. Information regarding Beneficial Owner of the account (incase of company, information regarding controlling shareholder(s) and the shareholder(s) holding 20% or more shares)

... ...

... ...

7.Passport No :... ... ... Whether photocopy obtained? (where applicable)? Yes ☐ No ☐

8.Voter ID Card No. .... Whether photocopy obtained? (where applicable)? Yes ☐ No ☐

9.National ID No :... ... ... Whether photocopy obtained? (where applicable)? Yes ☐ No ☐

10. T.I.N. No:. ... ...Whether photocopy obtained? (where applicable)? Yes ☐ No ☐

11. VAT Reg. No :... ... ... Whether photocopy obtained? (where applicable)? Yes ☐ No ☐

12. Driving License No :.. ... ... Whether photocopy obtained? (where applicable)? Yes ☐ No ☐

13. What Does Customer do?

Mention the occupation of the client in detail :

Comments (If any):

(Comments may be made in this part regarding risk of the customer in consideration of subjective judgment)

A/C Opening Officer	Customer
Signature	Signature